INTHE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE Fatent Application of:

ALFRED A. IVERSEN,
ERIC F. CAILLÉ, and
DERON J. SINGER

Serial No. 10/761,450

Filing Date: 01/20/2004

For: MRI-COMPATIBLE SURGICAL
INSTRUMENTS

INTHE UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICIAL OFFICE

OF

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

This Statement is filed under the provisions of 37 C.F.R. 1.97. The prior art is listed on the accompanying Form PTO/SB/08A-B.

The prior art references, namely the U.S. Patents, web pages and product brochure listed below, constitute the closest art of which the Applicants are aware relating to the invention of the above identified Application. The Application discloses and claims MRI-compatible surgical instruments, which are patentable over this prior art, and which are as follows.

Patent No.	<b>Issue Date</b>	<u>Patentee</u>
5,782,836	04-21-1998	Umber et al.
6,033,408	03-07-2000	Gage et al.
6,093,145	07-25-2000	Vom Berg et al.
6,120,518	09-19-2000	Mark et al.
6,361,533	03-26-2002	Morales et al.

1. CODMAN, "MAGNAFREE™ Non-Magnetic Surgical Instruments", web pages, 5-1999, Codman & Shurtleff, Inc., U.S.

2. CODMAN, "KARLIN™ Microdiscectomy Instruments", product brochure, Codman & Shurtleff, Inc., U.S.

3. INTEGRA NEUROSCIENCES, "MRI Instrumentation"

It is respectfully submitted that Applicants' application discloses an invention which is patentable over these prior art references, which are disclosed to the Patent Office to ensure that all pertinent art, of which Applicants are aware, is before the Examiner for consideration. Entry of these references into the file of the above Application is believed to be in order, and is respectfully requested.

Respectfully submitted,

ALFRED A. IVERSEN, ERIC F. CAILLÉ, and DERON J. SINGER Applicants

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Dated: 23 Jene 2004



## Certificate of Mailing under 37 CFR 1.8

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Anthony G. Egg⁄ink

In re U.S. Patent Application of:

lversen, Caillé and Singer

For: MRI-Compatible Surgical Instruments

Serial No. 10/761,450 Filing Date: 01/20/2004 Group Art Unit: 3737

INFORMATION DISCLOSURE STATEMENT

Prior Art References - 8

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/761,450	-	
Filing Date	01/20/2004		
First Named Inventor	Alfred A. Iversen		
Art Unit	3737		
Examiner Name			
Attorney Docket Number	PMT-0104		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	<sup>US-</sup> 5,782,836	07-21-1998	Umber et al.	
	AB	<sup>US-</sup> 6,033,408	03-07-2000	Gage et al.	
	AC	<sup>US-</sup> 6,093,145	07-25-2000	Vom Berg et al.	
	AD	<sup>US-</sup> 6,120,518	09-19-2000	Mark et al.	
	AE	<sup>US-</sup> 6,361,533	03-26-2002	Morales et al.	
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>	

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)
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a collection of information unless it contains a valid OMB contains.

Substitute for form 1449/PTO	Complete if Known		
	Application Number	10/761,450	
INFORMATION DISCLOSURE	Filing Date	01/20/2004	
STATEMENT BY APPLICANT	First Named Inventor	Alfred A. Iversen	
(Use as many sheets as necessary)	Art Unit	3737	
(Ose as many sneets as necessary)	Examiner Name		
Sheet 2 of 2	Attorney Docket Number	PMT-0104	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	ВА	CODMAN, "MAGNAFREE Non-Magnetic Surgical Instruments", web pages, 5-1999, Codman & Shurtleff, Inc., U.S.	
	ВВ	CODMAN, "KARLIN Microdiscectomy Instruments", product brochure, Codman & Shurtleff, Inc., U.S.	
	вс	INTEGRA NEUROSCIENCES, "MRI Instrumentation", web pages, U.S.	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.